

Please type plus sign (+) inside this box ->+

Declaration

Submitted

with Initial

Filing

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

OR

□ Declaration

required)

Submitted after Initial

Filing (surcharge (37 CFR 1.16 (e))

PTO/SB/01 (12-97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Attorney Docket No.		ENR100/4-15						
First Named Invento	r	Louise J. Kitchen						
	СОМІ	PLETE IF KNOWN						
Application Number	09	/895,281						
Filing Date	06/	29/2001						
Group Art Unit								
Examiner Name								

	As a below named inventor, I hereby declare that:		•									
	My residence, post office address, and citizenship are as stated below next to my name.											
	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:											
	Single Party Buying and Selling Commodities with Multiple Counterparties											
	the specification of which (Title of the Invention)											
	is attached hereto OR											
	was filed on (MM/DD/YYYY) 06/29/2001 as United States Application Number or PCT International											
	Application Number 09/895, 281	and was amended on (N	MM/DD/YYYY) [		<del>-</del>	(If applicable).						
	I hereby state that I have reviewed and undersany amendment specifically referred to above.	stand the contents of the above id	lentified specification	on, includ	ling the claims,	as amended by						
	I acknowledge the duty to disclose information applications, material information which becan international filing date of the continuation-in-p	ne available between the filing da	as defined in 37 CF te of the prior appli	R 1.56, cation ar	including for ∞ nd the national	ntinuation-in-par or PCT	t					
ar be	I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.											
	Prior Foreign Application Number(s)	Country	Foreign Filin		Priority Not Claimed	Certified Copy A Yes	ttached? No					
	(manuscle) (manuscle) 168 no											
	Additional foreign application numbers are listed on	a supplemental priority data sheet PTC	/SB/O2B attached he	reto:								
Ξ	hereby claim the benefit under 35 U.S.C. 119(e)	of any United States provisional	application(s) listed	below.								
L	Application Number(s)	Filing Date (MM/DD/	YYYY)	Г	Additional pro	ovisional applicat	ion					
	50/215,471	06/30/2000		_	numbers are	listed on a priority data she	<b>Lot</b>					
6	50/218,473	07/14/2000				attached hereto						

[Page 1 of 3]





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# **DECLARATION** — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the Units listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT Internation manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in became available between the filing date of the prior application and the national or PCT international filing date of this application.  U.S. Parent Application or PCT Parent  Number  Parent Filing Date  (MM/DD/YYYY)  (if appl.	onal application in the in 37 CFR 1.56 which ent Number
U.S. Parent Application or PCT Parent Parent Filing Date Parent Pate	
Number (MM/JOJ/1111) (IT app.	licabie)
Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/O2B attached hereto.	
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all busines	ss in the Patent
and Trademark Office connected therewith: Customer Number	Customer
n D	er Bar Code bel here
Registration	Registration
Name Number Name	Number
Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/O2C attached hereto.	
Direct all correspondence to:  Customer Number or Bar Code Label  OR Correspondence address b	below
Name Stephen S. Hodgson	
Address Vinson & Elkins L.L.P.	
Address 1001 Fannin St., Suite 2300	
City Houston State Texas ZIP 77002-6	6760
Country         U.S.A.         Telephone         (713)         758-2604         Fax         (713)         6	615-5730
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and bel be true; and further that these statements were made with the knowledge that willful false statements and the like so made are pur imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or thereon.	nishable by fine or
Name of Sole or First Inventor: A petition has been filed for this unsigned inventor.	ventor
Given Name (first and middle [if any]) Family Name or Sumame	
Louise J. Kitchen	
Inventor's Signature CSS Codd Hehere Gull Date 9	124/01
Residence: City Houston State Texas Country U.S.A. Citizenship U.	K
Post Office Address 2137 Chilton Road	
Post Office Address	
T - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 -	
City Houston State Texas ZIP 77019 Country U.S.A.	



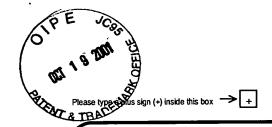
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### **DECLARATION**

**ADDITION INVENTOR(S)** Supplemental Sheet
Page 1 of 1

Name of Additio	nal Joint Inventor, if any:			(	A pe	tition has bee	n filed fo	r this unsign	ed inventor		
	Given Name (first and middle (if	any])		Family Name or Sumame							
Jay C.				Webb							
Inventor's Signature						<u> </u>	Date	9/14/01			
Residence: City	Houston	Stat	e Texa	s C	untry	U.S.A.	-Acan	Citizenship	U.S.A.		
Post Office Address	6511 Mercer Stre	eet									
Post Office Address									'		
City	Houston	State	Texas	ZIP	770	05	Count	try U.S	.A.		
Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor							d inventor				
	Given Name (first and middle [if a	ny])			Family Name or Sumame						
Marcello				Ro	Romano						
Inventor's Signature							(eux	Date			
Residence: City	London	State		Со	untry	Englan	, i	Citizenship	UK		
Post Office Address	6 Princes Mews										
Post Office Address	Hereford Road										
City	London	State		ZIP	W2 4	4NX	Count	ry Eng	land, UK		
Name of Addition	nal Joint Inventor, if any:			Ε	A peti	tion has been	filed for	this unsigne	d inventor		
	Given Name (first and middle [if a	ny])		Family Name or Sumame							
tnventor's Signature								Date			
Residence: City		Country Citizenship									
Post Office Address											
Post Office Address											
City		State		ZIP			Count	ry			





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Examiner Name		

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the specification of which (Title of the Invention)										
is attached hereto OR										
was filed on (MM/DD/YYYY)	06/29/2001 as	United States Application	Number or PCT Inter	national						
Application Number 09/895,	281 and was amended	on (MM/DD/YYYY)		(If applicable).						
I hereby state that I have reviewed and any amendment specifically referred to	above.			+						
I acknowledge the duty to disclose info applications, material information which international filing date of the continuat	became available between the filing	oility as defined in 37 CFF g date of the prior applica	t 1.56, including for contion and the national o	ntinuation-in-part r PCT						
hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.										
Prior Foreign Application Number(s)	Country	Foreign Filing (MM/DD/YYY		Certified Copy Attached? Yes No						
Additional foreign application numbers are	isted on a supplemental priority data she	et PTO/SB/O2B attached her	eto:							
hereby claim the benefit under 35 U.S.C.	119(e) of any United States provision	onal application(s) listed b	elow.							
Application Number(s)	Filing Date (MM	/DD/YYYY)	Additional pro	visional application						
60/215,471	06/30/2000		numbers are I	isted on a						
60/218,473	07/14/2000	,		priority data sheet attached hereto.						
	1									

[Page 1 of 3]

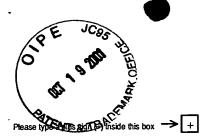




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U.S. Parent Application or PCT Parent Number					Parent Filing Date (MM/DD/YYYY)				Parent Patent Number (if applicable)				
									•		•		
Addition	nal U.S. or	PCT international application n	umbers an	e listed	on a suppleme	ntal p	riority	data she	eet PTO/	SB/O2B at	tached	hereto.	
As a name	d inventor	r, I hereby appoint the follow	wing regis	stered	practitioner(s	) to p	rosec	cute this	applica	ation and	to tran	sact all bus	iness in the Patent
and Trader	mark Offic	e connected therewith:	Custon	ner Nur	nber						-		Place Customer lumber Bar Code
		-	OR				_1_44		!!	l b ala			Label here
			Registe		actitioner(s) na istration	me/reg	gistrati	ion numi	ber listed	pelow		·····	Registration
		Name	_	Ni	umber	4			N	lame			Number
Addition	nal registen	ed practitioner(s) named on su	pplementa	l Regist	ered Practition	er Info	matic	on sheet	PTO/SB	/O2C attac	ched he	reto.	
Direct all o	orrespond	lence to: Customer or Bar Co					OR Correspondence address below					ess below	
Name	Step	hen S. Hodgso	n										
Address	Vins	on & Elkins L	.L.P	•									
Address	1001	Fannin St.,	Suite	e 23	300								
City	Hous	ton						State	Te	xas	ZIP	7700	2-6760
Country	u.s.	Α.			Telephone	(7	713	) 75	58-20	604	Fax	(713	) 615-5730
be true; an	d further	t all statements made herei that these statements were th, under 18 U.S.C. 1001 a	e made w	vith the	knowledge	that v	villful	false st	tatemen	its and th	e like :	so made ar	e punishable by fine o
Name of	Sole or	First Inventor:						A pet	ition has	s been file	ed for t	his unsigne	d inventor
		Given Name (first and mid	dle [if any	yl)						Family	Name	or Sumam	е
Louis	е J.						Ki	tche	en				
Inventor's Signature											Date		
			Sta	te Texa	ıs	Cot	ıntry	U.S	.A.		Citizenship	UK	
Post Office	Address	2137 Chilton	Road	i									
Post Office	Address	_											
City		Houston		State	Texas	Z	IP	770	19		ountr	y U.S	.A.
Addition	onal inven	tors are being named on th	e 1		supplemental	Addi	tional	Invent	or(s) she	eet(s) PT	O/SB/	O2A attache	ed hereto.



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Supplemental Sheet
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Name of Addition	Name of Additional Joint Inventor, if any:										
	Given Name (first and middle [if a	ny])		П	Family Name or Sumame						
Jay C.					Webb						
Inventor's Signature									Date		
Residence: City	Houston	State	Теха	s	Cou	intry	U.S.A.		Citizenship	U.S.A.	
Post Office Address	6511 Mercer Street										
Post Office Address											
City	Houston	State	Texas	ZII	Р	770	05	Count	ntry U.S.A.		
Name of Addition	nal Joint Inventor, if any:					A peti	ition has been	filed for	this unsigned	d inventor	
	Given Name (first and middle [if a	ny])					Fan	nily Nam	e or Sumam	<u>e</u>	
Marcello					Romano						
Inventor's Signature	Mark								Date	15.10.01	
Residence: City	London	State	•		Country England			d	Citizenship	UK	
Post Office Address	6 Princes Mews										
Post Office Address	Hereford Road						<u>-</u>				
City	London	State		ZII	Р	W2	4NX	Count	etry England, UK		
Name of Addition	nal Joint Inventor, if any:					] A pet	ition has been	filed for	this unsigned	1 inventor	
	Given Name (first and middle [if a	ny])			Family Name or Sumame						
Inventor's Signature									Date		
Residence: City	State					Country Citizenship					
Post Office Address			_								
Post Office Address											
City		State		ZII	Р			Count	ry		

[Page 3 of 3]